



WESTERN BALKANS October 4, 2018 Regional Compliance & Ethics Conference

**TOPICS: Money Laundering | Codes of Conduct
Due Diligence Program | Monitoring Risk | GDPR**



Network locally and earn CEUs

SCCE Regional Conferences provide a forum to interact with local compliance professionals, share information about your compliance successes and challenges, and create educational opportunities for compliance professionals to strengthen the industry.

Questions? beckie.smith@corporatecompliance.org

Learn more and register corporatecompliance.org/regionals

09:00 – 10:00

Ongoing Monitoring of Risk



Gerry Zack

Incoming CEO

Society of Corporate Compliance and Ethics

- A framework for compliance monitoring
- Effective use of data analytics
- Third party monitoring

10:00 – 11:00

Think globally, act locally: how to implement a due diligence program in Western Balkans?

Milos Stopic

Compliance & Ethics Officer, Middle

East & Eastern Europe

Louis Berger International

- The structure of an effective due diligence program
- The local specific risks in 3rd party interaction
- The challenges of implementation (highlighting a few interesting anonymized cases)
- What pitfalls do compliance officers face?

11:00 – 11:15

Networking break

11:15 – 12:15

Codes of Conduct – constitutional chains or a living breathing document?

Bandini Chichhia

Principal, Policy and Ethics

Office of the Chief Compliance

Officer, The European Bank for

Reconstruction and Development

- How do you know if your Code of Conduct is fit-for-purpose? Is it principles based/ rules based/ or both?
- Is it sending the right message? Is it tied to your corporate values and business strategy?
- Is your senior management assisting in continuous communication?
- How are lessons learnt from “ethical failures” captured in your ethics program?
- How are suspected “ethical” failures reported? Is your whistleblower protection program robust

12:15 – 13:15

Lunch

13:15 – 14:15

Anti Money Laundering

Milunka Milanovic

Head of Dept. for International

Cooperation and Legal Affairs

Commerce Bank, ad, Beograd

- AML risk based approach
- High risky customers and enhanced due diligence
- Role and status of AML compliance officer in a company

14:15 – 15:15

GDPR

Mirad Maglic

Microsoft

15:15 – 15:30

Networking break

15:30 – 16:30

Can Compliance Save Sports



Ty Francis, MBE

Chief Global Business Development

Officer, International Centre for

Sports Security

- Corruption and misconduct on and off the field not only hurts sport and athletes, it hurts those corporations who partner and sponsor them. Compliance seems to be the solution to help safeguard sports and those who wish to support it.
- Can a confidential ‘Sport Integrity Hotline’ for athletes, officials and the public help reduce what is now a pandemic of allegations of misconduct before they permanently damage sport.
- How will compliance help to deliver practical solutions to address issues related to conduct, performance enhancement, unintentional doping and the validation

16:30 – 17:30

Topic TBD

Speaker TBD

17:30

Conference Adjourns

REGISTRATION FEE

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

- SCCE Member**..... \$199
- Non-Member**..... \$245
- Registration & SCCE membership**..... \$399
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TOTAL \$ _____

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SPECIAL REQUEST DIETARY ACCOMODATION

- Gluten free Vegetarian Vegan Other _____
- Kosher (no shellfish, pork or meat/dairy mixed) Kosher (Hechsher certified)

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Mail check (including registration form) to:
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Fax to: +1 952 988 0146

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Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (LCBA18)

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HOTEL/CONFERENCE LOCATION:**Hotel Bristol Sarajevo**

Fra Filipa Lastrica 2, 71000 Sarajevo, BiH

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GROUP DISCOUNT: 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

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